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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
122121-2

In Re Application Of: **DeRudder et al.**

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/797,418	03/10/2004	to be assigned	25743	1712	4129

Title: **TRANSPARENT AND HIGH HEAT POLYCARBONATE POLYSILOXANE COPOLYMERS AND  
TRANSPARENT BLENDS WITH POLYCARBONTE AND A PROCESS FOR PREPARING SAME**



Address to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

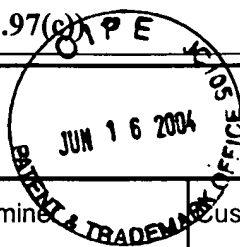
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**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account 07-0862  
as described below.
- ☐ Charge the amount of \_\_\_\_\_
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Dated: 6/11/04

Frank A. Smith - Registration No. 39,375

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phone: (413) 448-7604

CC:

<b>PTO-1449</b> <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <b>LIST OF ITEMS</b>  (Use several sheets if necessary)										Attorney's Docket Number 122121-2		Serial Number 10/797,418		
										Name of Applicant DeRudder et al.				
										Filing Date 3/10/2004		Group 1712		
<b>U.S. PATENT DOCUMENTS</b>														
* Examiner Initials	Document Number								Date	Name	Class	Subclass	Filing Date if Appropriate	
	AA	3	6	4	0	9	4	3	02/08/72	Bostick et al.				
	AB	3	6	8	6	3	5	5	08/22/72	Gaines et al.				
	AC	4	1	2	6	7	4	0	11/21/78	Factor et al.				
	AD	4	1	4	7	7	0	7	04/03/79	Alewelt et al.				
	AE	4	2	0	7	2	6	4	06/10/80	Anderson et al.				
	AF	4	2	2	4	2	1	5	09/23/80	Macke				
	AG	4	5	6	9	9	7	0	02/11/86	Paul et al.				
	AH	4	5	8	4	3	6	0	04/22/86	Paul et al.				
	AI	4	6	1	2	2	3	8	09/16/86	DellaVecchia et al.				
	AJ	4	6	5	7	9	8	9	04/14/87	Evans				
<b>FOREIGN PATENT DOCUMENTS</b>														
	Document Number								Date	COUNTRY	Class	Subclass	TRANSLATION YES NO	
	AK	EP0595141 A1							05/04/94	European				
	AL	EP0522751 B1							01/13/93	European				
	AM	EP0600196 B1							06/08/94	European				
	AN	EP0376052 B1							07/04/90	European				
	AO	EP0322690 A1							07/05/89	European				
	AP	EP0374508 A2							06/27/90	European				
<b>OTHER INFORMATION (Including Author, Title, Date, Pertinent)</b>														
	AQ													
	AR													
	AS													
<b>EXAMINER</b>										<b>DATE CONSIDERED</b>				
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	AA	4	6	8	1	9	2	2	07/21/87	Schmidt et al.							
	AB	4	7	3	2	9	4	9	03/22/88	Paul et al.							
	AC	4	7	4	6	7	0	1	05/24/88	Kress et al.							
	AD	4	7	7	7	2	1	2	10/11/88	Kress et al.							
	AE	4	7	8	2	1	1	5	11/01/88	Paul et al.							
	AF	4	7	9	4	1	4	1	12/27/88	Paul et al.							
	AG	4	8	6	1	8	2	9	08/29/89	Kress et al.							
	AH	4	9	1	2	1	6	5	03/27/90	Winfried et al.							
	AI	4	9	2	5	8	9	1	05/15/90	Kress et al.							
	AJ	5	0	3	2	6	6	1	07/16/91	Serini et al.							
<b>FOREIGN PATENT DOCUMENTS</b>																	
	Document Number								Date	COUNTRY	Class	Subclass	TRANSLATION YES NO				
	AK	EP0425894 B1							04/13/94	European							
	AL	EP0524731 B1							01/27/93	European							
	AM	EP0206006A1 (abstract)							12/30/86	European			X				
	AN	EP0239764A2 (abstract)							10/07/87	European			X				
	AO	EP0247430B1 (abstract)							12/02/87	European			X				
	AP	EP0258728A3 (abstract)							03/09/88	European			X				
<b>OTHER INFORMATION (Including Author, Title, Date, Pertinent)</b>																	
	AQ	"Surface Composition of Siloxane-Containing Polymers". Authors: Gorelova, Marianna; Levin, Victor. Makromol. Chem., Macromol Symp. 44, 317-326 (1991).															
	AR	"Preferential Enrichment of Chemistry at Polymer Surfaces". Authors: Lopez, Leonardo C.; Dwight, David W.; Journal of Applied Polymer Science, Vol. 36, 1401-1415 (1988).															
	AS	"Study of Surface Composition and Morphology of Block Copolymers of Bisphenol A Polycarbonate and Poly(dimethylsiloxane) by X-ray Photoelectron Spectroscopy and Ion Scattering Spectroscopy". Authors: Schmitt, Robert L., Gardella, Joseph A. Jr., Magill, Joseph H., Salvati, Lawrence Jr., Chin, Roland L., Macromolecules 1985, Vol. 18, No. 12, 2675-2679.															
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	AA	5	1	0	0	9	6	0	03/31/92	Grigo et al.			
	AB	5	1	0	9	0	7	6	04/28/92	Freitag et al.			
	AC	5	1	1	2	9	2	5	05/12/92	Horlacher et al.			
	AD	5	1	3	7	9	4	9	08/11/92	Paul et al.			
	AE	5	3	2	2	8	8	2	06/21/94	Okamoto			
	AF	5	3	4	4	9	0	8	09/06/94	Rosenquist			
	AG	5	3	8	0	7	9	5	01/10/95	Gosens et al.			
	AH	5	4	5	1	6	3	2	09/19/95	Okumura et al.			
	AI	5	4	5	5	3	1	0	10/03/95	Hoover et al.			
	AJ	5	4	8	0	9	5	9	01/02/96	Schmidhauser			
<b>FOREIGN PATENT DOCUMENTS</b>													
	<b>Document Number</b>							<b>Date</b>	<b>COUNTRY</b>	<b>Class</b>	<b>Subclass</b>	<b>TRANSLATION YES NO</b>	
	AK	EP0236837A2 (abstract)							09/16/87	European			X
	AL	WO 80/00084							01/24/80	World			
	AM	JP1994240148A							08/30/94	Japan			X
	AN	JP1997235376A							09/09/97	Japan			X
	AO	JP1995224219A							08/22/95	Japan			X
	AP	JP5-202182							08/10/93	Japan			X
<b>OTHER INFORMATION (Including Author, Title, Date, Pertinent)</b>													
	AQ	"On the Surface Morphology of Block Copolymers". Author: Gaines, George L., Jr., Macromolecules 1981, Vol 14, 208.											
	AR	"Esca Analysis of Polyphosphazene and Poly(siloxane/Carbonate) Surfaces". Authors: Dwight, D.W., McGrath, J.E., Beck, A.R., and Riffle, J.S.; Virginia Polytechnic Institute and State University, Blacksburg, Virginia, Source: 20, No. 1, April 1979, p 702-705.											
	AS	"Surface Behavior of Binary Polymeric Systems". Gorelova, M.M., Pertsin, A.I., Makarova, L.I., Filimonova, L.V., Levin, V. Yu. Polymer Science USSR, Vol. 34, No. 2, 145-150 (1992).											
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		AA	5	5	3	0	0	8	3	06/25/96	Phelps et al.							
		AB	5	6	0	8	0	2	7	03/04/97	Crosby et al.							
		AC	5	6	1	6	6	7	4	04/01/97	Michel et al.							
		AD	5	7	8	3	6	5	1	07/21/98	König et al.							
		AE	6	0	0	1	9	2	9	12/14/99	Nodera et al.							
		AF	6	0	7	2	0	1	1	06/06/00	Hoover							
		AG	6	3	2	3	2	8	0	11/27/01	Paul et al.							
		AH																
		AI																
		AJ																
<b>FOREIGN PATENT DOCUMENTS</b>																		
		Document Number							Date		COUNTRY				Class	Subclass	TRANSLATION YES NO	
		AK	JP3180406B2							06/25/01		Japan						X
		AL	JP3180407							06/25/01		Japan						X
		AM	JP2890648 B2							05/17/99		Japan						X
		AN	JP1995026149 A							01/27/95		Japan						X
		AO	JP1997265663A							10/07/97		Japan						X
		AP	JP1999045139A							02/16/99		Japan						X
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	AB							
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	AD							
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	AI							
	AJ							
<b>FOREIGN PATENT DOCUMENTS</b>								
	Document Number			Date	COUNTRY	Class	Subclass	TRANSLATION YES      NO
	AK	JP1995173276A		07/11/95	Japan			X
	AL	JP3151789		04/03/01	Japan			X
	AM	JP1993311075A		11/22/93	Japan			X
	AN	JP1995207140A		08/08/95	Japan			X
	AO	JP6008386B		02/02/94	Japan			X
	AP	JP62-088134 (abstract)		04/22/87	Japan			X
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